





Orthogonal Graph Drawings and the Bend Minimization Problem

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joint work with Emilio Di Giacomo, Michael Kaufmann, Giuseppe Liotta, Fabrizio Montecchiani, Giacomo Ortali, Maurizio Patrignani

Orthogonal drawings: Definition

4-graph





orthogonal drawing

Orthogonal drawings: Applications





Orthogonal drawings and hybrid visualizations





• Metrics used to evaluate the "quality" (readability) of a drawing

































- no crossings
- fewer bends
- smaller area



In this talk: Bend Minimization



Bend minimization and rectilinear planarity

- Rectilinear drawing = orthogonal drawing without bends
- Rectilinear planarity testing:
 - Instance: planar 4-graph G
 - Question: does G admit a rectilinear planar drawing?



Bend minimization and rectilinear planarity

3 bends

- Rectilinear drawing = orthogonal drawing without bends
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Fixed and Variable Embedding

• Two possible scenarios





Fixed Embedding: The main result

- O(n² log n) time for general 4-graphs [Tamassia, SIAM J. Comp. 1987]
 - based on an elegant reduction to a min-cost flow problem



Flow and orthogonal representations

Flow network \Leftrightarrow orthogonal representations (angles + bends)



[Tamassia, SIAM J. Comp. 1987]

- vertices/faces with deg < 4 supply flow
- faces with deg > 4 demand flow
- adjacent faces can exchange flow
- 1 unit of flow exchanged = 1 bend
- total flow cost = total number of bends

Fixed Embedding: Further improvements

Improvements of Tamassia's result derive from subsequent faster min-cost flow algorithms:

- O(n^{1.75} log n) time [Garg & Tamassia, GD 1996]
- O(n^{1.5}) time [Cornelsen & Karrenbauer, JGAA 2012]

Fixed Embedding: Open problems

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- O(n^{1.75} log n) time [Garg & Tamassia, GD 1996]
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Open Problem 1: Does there exist an O(n)-time bend-minimization algorithm for plane 4-graphs?

Fixed Embedding: Open problems

- Partial answers:
 - -O(n)-time algorithm for plane 3-graphs [Rahman & Nishizeki, WG 2002]
 - extends an O(n)-time rectilinear planarity testing for plane 3-graphs [Rahman, Nishizeki, Naznin, GD 2001 & JGAA 2003]
 - O(n)-time algorithm for <u>plane</u> series-parallel graphs (SP-graphs)
 [D., Kaufmann, Liotta, Ortali, GD 2020 & Algorithmica 2022]

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Fixed Embedding: Open problems

not flow-based!

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- O(n)-time algorithm for <u>plane</u> series-parallel graphs (SP-graphs)
 [D., Kaufmann, Liotta, Ortali, GD 2020 & Algorithmica 2022]

Open Problem 1: Does there exist an O(n)-time bend-minimization algorithm for plane 4-graphs?

Open Problem 2: Does there exist an O(n)-time bend-minimization algorithm for *triconnected* plane 4-graphs?





Prominent open problems

Problem A. Establishing the exact time complexity of the bendminimization and the rectilinear planarity testing problems for

- SP-graphs
- Planar 3-graphs

Question: Can we find linear-time algorithms?

Prominent open problems

Problem A. Establishing the exact time complexity of the bend minimization and the rectilinear planarity testing problems for

- SP-graphs
- Planar 3-graphs

Question: Can we find linear-time algorithms?

Problem B. Exponential-time algorithms for general planar 4-graphs **Question**: What about the existence of parameterized algorithms?











In the remainder of the talk: Problem B

parameterized complexity

| b (bends) | k (deg-2 vert.) | tw (treewidth) | b+k | b+tw | k+tw |
|--|--|---|---|------------------------|---|
| Para-NP-hard [Garg & Tamassia, 2001] | Para-NP-hard [Di Giacomo, D., Liotta, Ortali, Montecchiani, 2023] | W[1]-hard [Jansen et al., 2023] XP [Di Giacomo, Liotta, Montecchiani, 2022] | FPT [Di Giacomo, D., Liotta, Ortali, Montecchiani, 2023] | W[1]-hard [implied] | W[1]-hard [Di Giacomo, D., Liotta, Ortali, Montecchiani, 2023] |



\begin{SPQR-trees}




































\end{SPQR-trees}



\begin{Spirality}

Spirality of orthogonal components: Intuition



-> Spirality of orthogonal components









Spirality of orthogonal components



Spirality of orthogonal components





Parallel (orthogonal) component





The spirality is either an integer or a semi-integer number

Theorem (substitution). Two orthogonal components with the same spirality are "interchangeable" (even if they have different embeddings)



Substitution of components

Theorem (substitution). Two orthogonal components with the same spirality are "interchangeable" (even if they have different embeddings)





Spirality: Series relationship





 $\sigma_{\mu} = \sum_{i=1}^{6} \sigma_{\mu i} = 2$







$$\sigma_{\mu} = \sigma_{\mu 1} - 2 = \sigma_{\mu 2} = \sigma_{\mu 3} + 2$$

Spirality: Parallel relationship





 For P-nodes with two children the relationship is a bit more involved, but similar



\end{Spirality}

[Di Battista, Liotta, Vargiu, SIAM J. Comp. 1998]



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at the root level, select the pair { σ_{μ} , σ_{ρ} } such that: $\sigma_{\mu} - \sigma_{\rho} = 4$ and b(σ_{μ})+ b(σ_{ρ}) is minimum



[Di Battista, Liotta, Vargiu, SIAM J. Comp. 1998]



at the root level, select the pair $\{\sigma_{\mu}, \sigma_{\rho}\}$ such that: $\sigma_{\mu} - \sigma_{\rho} = 4$ and $b(\sigma_{\mu}) + b(\sigma_{\rho})$ is minimum



take the minimum over all roots!

μ5

Bend-min algorithm: General strategy [Di Battista, Liotta, Vargiu, SIAM J. Comp. 1998]



Question: How can we efficiently compute the optimal set of each node?

take the minimum over all roots!


Optimal sets: Preliminary observations

- A bend-min orthogonal representation has at most 2n-2 bends [Tamassia, Tollis, Vitter 1991]
 ⇒ the spirality of a component is in the interval [-3n+2, 3n-2]
- Each bend is along a chain of a Q*-node



μ= Q*-node whose chain has length k

- consider all possible spirality values $\sigma_{\mu} \in [-3n+2, 3n-2]$
- for each $\sigma_{\mu} \Rightarrow b(\sigma_{\mu}) = max\{0, |\sigma_{\mu}| k + 1\}$





 μ = S-node

- the spirality values σ_{μ} are all possible summations of the values of the children of μ
- for each summation $\sigma_{\mu} \Rightarrow b(\sigma_{\mu}) = sum of the bends of the children (keep the minimum for <math>\sigma_{\mu}$)





 μ = P-node

- the spirality values σ_{μ} must satisfy the parallel relationship
- for each $\sigma_{\mu} \Rightarrow b(\sigma_{\mu})$ = sum of the bends of the children (keep the minimum on σ_{μ})





- consider all possible values $\sigma_{\mu} \in [-3n+2, 3n-2]$
- for each $\sigma_{\mu} \Rightarrow b(\sigma_{\mu}) = constrained$ min-cost flow with virtual edges having convex-cost functions (the cost of the corresponding series)



• consider all possible values $\sigma_{\mu} \in [-3n+2, 3n-2]$

O(nT(deg(μ)) time x node O(nT(n)) time all nodes

T(.) = min-cost flow time

• for each $\sigma_{\mu} \Rightarrow b(\sigma_{\mu}) = constrained min-cost flow with virtual edges having convex-cost functions (the cost of the corresponding series)$





μ = child of the root

- consider all possible values σ_{μ} and σ_{ρ} such that $\sigma_{\mu} \sigma_{\rho} = 4$
- $b(\rho) = \min \{b(\sigma_{\mu}) + b(\sigma_{\rho}) \mid \sigma_{\mu} \sigma_{\rho} = 4\}$



- O(n) time



• For a single rooted tree T_{ρ} $O(n^2) + O(n^3) + O(n^2) + O(nT(n)) + O(n) = O(n^3)$

Q*-nodes S-nodes P-nodes R-nodes root

• For all rooted trees T_{ρ} O(n⁴)



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Q*-nodes S-nodes P-nodes R-nodes root

• For all rooted trees T_{ρ} O(n⁴)

Question: Can we do better?



1. Processing all S-nodes for a single tree takes O(n³) time – can we reduce the time needed to process S-nodes?

Time complexity: Bottlenecks

- Processing all S-nodes for a single tree takes O(n³) time can we reduce the time needed to process S-nodes?
- 2. Can we avoid the O(n) factor caused by exploring all roots?

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- 3. Can we avoid to solve a min-cost-flow problem in the presence of R-nodes?



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- 2. Can we avoid the O(n) factor caused by exploring all roots?
- 3. Can we avoid to solve a min-cost-flow problem in the presence of R-nodes?

SP-graphs



• Consider normalized SPQ*-trees —each S-node has two children



 The number of S-nodes is still O(n) and the structure of the tree does not change when we change the root



- Consider any ordered sequence of all normalized rooted SPQ*-trees $T_{\rho1}, T_{\rho2}, ..., T_{\rhoh}$



SP-graphs: improved time complexity

Theorem 1. Let G be an n-vertex SP-graph. There exists an algorithm that computes a bend-minimum orthogonal drawing of G in O(n³) time

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Question. Can we further improve the time complexity for the <u>rectilinear</u> planarity testing problem (0 bends)?

Observation. Computing the set of spirality values for an S-node μ with two child components of size $n_{\mu 1}$ and $n_{\mu 2}$ take $O(n_{\mu 1} n_{\mu 2})$ time



.. because in a rectilinear drawing the absolute spirality of a component with k vertices is at most k-2



Lemma. The sum of the products of the sizes of the pertinent graphs of all S-node children in a normalized rooted SPQ*-tree is O(n²)



$$\sum_{\mu} O(n_{\mu 1} n_{\mu 2}) = O(n^2)$$

S-nodes: rectilinear planarity testing

Lemma. The sum of the products of the sizes of the pertinent graphs of all S-node children in a normalized rooted SPQ*-tree is O(n²)



$$(n_{\mu 1} n_{\mu 2}) = O(n^2)$$

proved by induction on the depth of the subtree T(v) rooted at v

$$s(v) = \sum_{\mu \in \{S-nodes \text{ in } T(v)\}} n_{\mu 1} n_{\mu 2} \le 4m_v^2$$



Lemma. The sum of the products of the sizes of the pertinent graphs of all S-node children in a normalized rooted SPQ*-tree is O(n²)

Lemma. Processing an S-node μ in each tree $T_{\rho j}$ does not cost more than in $T_{\rho 1}$



min{ $n_{\mu 1}n_{\mu 2}$, $n_{\mu 2}n_{\mu 0}$, $n_{\mu 0}n_{\mu 1}$ }



Theorem 1. Let G be an n-vertex SP-graph. There exists an algorithm that computes a bend-minimum orthogonal drawing of G in $O(n^3)$ time

Theorem 2. Let G be an n-vertex SP-graph. There exists an algorithm that tests whether G is rectilinear planar in $O(n^2)$ time



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Question. Can we achieve linear time?



- The given approach stores O(n) spirality values per node
- This does not allow us to achieve O(n) time complexity in total!

Question: is there any constant upper bound on the maximum spirality of a component?



- The given approach stores O(n) spirality values per node
- This does not allow us to achieve O(n) time complexity in total!

Question: is there any constant upper bound on the maximum spirality of a component?

Answer: this is not always the case!

Spirality – Logarithmic lower bound





G

 p_1

 G_{L-1}

 G_{L-1}

 G_L

 G_{L-1}

 G_{L-1}

- N ≥ 2 (even)
- L = N/2+1
- n = θ(3^N)

one of the three series of G_1 will have spirality $\sigma = N+2$

N = 4, L=3,
$$\sigma = 6$$

(d)



Theorem 1. Let G be an n-vertex SP-graph. There exists an algorithm that computes a bend-minimum orthogonal drawing of G in $O(n^3)$ time

Theorem 2. Let G be an n-vertex SP-graph. There exists an algorithm that tests whether G is rectilinear planar in $O(n^2)$ time

Theorem 3. There exist infinitely many SP-graphs that require components with spirality $\Omega(\log n)$ in any given rectilinear planar representation



Even if we must handle sets of spirality of non-constant size ...

Question: can we represent them in O(1) space?



Even if we must handle sets of spirality of non-constant size ...

Question: can we represent them in O(1) space?

Answers:

- 1) possible for a meaningful subclass of SP-graphs, called independent-parallel SP-graphs
- 2) unclear for general SP-graphs (probably not)



Independent-parallel SP-graphs

Independent-parallel means "no two P-nodes share a pole"



Independent-parallel SP-graphs

 Rectilinear planarity testing of independent-parallel SP-graphs can be executed in O(n) time

• Main ingredients:

- each component has one of the following sets of spirality values:
 - {0};
 - {-1, 1};
 - {-2, -1, 1, 2};
 - {-M, -M+1,..., 0,..., M-1, M};
 - {-M, -M+2, -M+4,...., M-4, M-2, M}

Independent-parallel SP-graphs

 Rectilinear planarity testing of independent-parallel SP-graphs can be executed in O(n) time

• Main ingredients:

- dynamic programming on (not normalized) SPQ*-trees
- the set of each Q*-node and of each P-node is computed in O(1) time
- the set of each S-node μ is computed in O(deg(μ)) time in the first tree and in O(1) time in the remaining trees
- rectilinear planarity at the root level is tested in O(1) time



Theorem 1. Let G be an n-vertex SP-graph. There exists an algorithm that computes a bend-minimum orthogonal drawing of G in $O(n^3)$ time

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Theorem 3. There exist infinitely many SP-graphs that require components with spirality $\Omega(\log n)$ in any given rectilinear planar representation

Theorem 4. Let G be an n-vertex independent-parallel SP-graph. There exists an algorithm that tests whether G is rectilinear planar in O(n) time



[D., Kaufmann, Liotta, Ortali, JGAA 2023]

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Theorem 2. Let G be an n-vertex SP-graph. There exists an algorithm that tests whether G is rectilinear planar in $O(n^2)$ time

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Non-independent-parallel SP-graphs may be irregular













Non-independent-parallel SP-graphs may be irregular

 \overline{v}







2

-0-

 \overline{u}^{Γ}

 \overline{v}



Open Problem 3: Can we improve the complexity of the bend-minimization problem for SP-graphs?

Recall: The best bound is $O(n^3)$



Open Problem 3: Can we improve the complexity of the bend-minimization problem for SP-graphs?

Recall: The best bound is $O(n^3)$

Open Problem 4: Can we improve the complexity of rectilinear planarity testing for (general) SP-graphs?

Recall: The best bound is $O(n^2)$





Planar 3-graphs in linear time

[D., Liotta, Ortali, Patrignani, SODA 2020]

Theorem 5. Let G be an n-vertex planar 3-graph. There exists an algorithm that computes a bend-minimum orthogonal drawing of G in O(n) time. Also, if G is not K_4 , the drawing has at most one bend per edge

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Optimal in terms of:

- time complexity
- total number of bends
- number of bends per edge

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Main ingredients:

- constant number of "shapes" (related to spirality) for each type of node
- efficient processing of R-nodes without flow-based techniques
 - in particular, we can find in O(n) time the optimal solution for triconnected planar 3 graphs (over all choices of the external face)
- update of the optimal set of each type of node in O(1) time



Shape-Lemma. Every biconnected planar 3-graph distinct from K₄ admits a <u>bend-minimum orthogonal representation</u> such that:

X

O1. every edge has at most one bend



O3. every S-component has absolute spirality $k \le 4$

O4. every component is optimal within its shape (thanks to substitution)



Open Problem 5: Does there exist an o(nT(n)) algorithm for planar *triconnected* 4-graphs?

T(n) = time needed to solve a min-cost-flow problem

In particular: Can we find an O(n²)-time algorithm (or better)?

Recall: For triconnected planar 3-graphs we can solve the problem in O(n) time [D., Liotta, Patrignani, SODA 2020]

FPT Algorithm for planar 4-graphs

Theorem 6. Let G be an n-vertex planar 4-graph with k vertices of degree at most two, let b be a non-negative integer, and let p = b+k. There exists an $O(2^{p \log p})n^{O(1)}$ —time algorithm that tests whether G admits an orthogonal representation with at most b bends, and that computes one if it exists



Lemma. For any node μ of a rooted SPQ*R-tree T of G, the absolute value of the spirality of any orthogonal representation of G_u is at most p+2

Intuition: right (left) turns on the external left (right) path must be either bends or degree-2 vertices



Bounding the spirality: Q*-, S-, P-nodes

Lemma. For any node μ of a rooted SPQ*R-tree T of G, the absolute value of the spirality of any orthogonal representation of G_µ is at most p+2

Intuition: right (left) turns on the external left (right) path must be either bends or degree-2 vertices

- The optimal set of a Q*-node is computed in O(p) time
- The optimal set of an S-node is computed in O(p²) time from those of its children
- The optimal set of a P-node is computed in O(p) time from those of its children





Extension to non-biconnected graphs

The presented results can be extended to 1-connected graphs

Main ingredients:

- use the **block-cutvertex tree** of the graph
- angle constraints at the cutvertices (representations that share a cutvertex must be glued together)





Concluding remarks constrained scenarios and some more problems



• **Problem HV-PLANARITYTESTING**. Rectilinear planarity testing where each edge is assigned a "direction", i. e., horizontal (H) or vertical (V)





• **Problem HV-PLANARITYTESTING**. Rectilinear planarity testing where each edge is assigned a "direction", i. e., horizontal (H) or vertical (V)



negative instance



Constrained scenarios: HV-drawings

- HV-PLANARITYTESTING is NP-complete even for planar 3-graphs [D., Liotta, Patrignani – JCSS 2019]
- HV-PLANARITYTESTING is polynomial-time solvable for SP-graphs
 - O(n⁴)-time for SP-graphs [D., Liotta, Patrignani JCSS 2019]
 - exploiting SPQ*-trees and spirality
 - O(n²)-time for SP-graphs
 - improving the time required by S-nodes as in [D., Kaufmann, Liotta, Ortali JGAA 2023]

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 - improving the time required by S-nodes as in [D., Kaufmann, Liotta, Ortali – JGAA 2023]

Open Problem 6: Study the parametrized complexity of HV-PLANARITYTESTING for planar 4-graphs (with rigid components)



• **Problem RU-PLANARITYTESTING**. Rectilinear planarity testing where each edge cannot point downward



Constrained scenarios: Rectilinear-Upward

[D., Kaufmann, Liotta, Ortali, Patrignani – ISAAC 2023 + advances]

- RU-PLANARITYTESTING is NP-complete
- RU-PLANARITYTESTING is O(n)-time solvable for <u>upward-plane</u> digraphs – based on a 2-SAT formulation
- RU-PLANARITYTESTING is O(n²)-time solvable for *biconnected* SP-digraphs
 - based on spirality + SPQ-trees
- RU-PLANARITYTESTING is FPT, parameterized by k= # of switches (sources/sinks)
 - O(2^{k log k+2k} n)-time algorithm, based on spirality + SPQR-trees + 2-SAT

Open Problem 7: Can we solve RU-PLANARITYTESTING in polynomial time for any *plane* digraph

Constrained scenarios: Rectilinear-Upward

[D., Kaufmann, Liotta, Ortali, Patrignani – ISAAC 2023 + advances]

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Open Problem 8: Can we solve RU-PLANARITYTESTING in O(n²) time for any directed *partial 2-tree ("1-connected" SP-digraphs)*

Constrained scenarios: Rectilinear-Upward

[D., Kaufmann, Liotta, Ortali, Patrignani – ISAAC 2023 + advances]

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• RU-PLANARITYTESTING is FPT, parameterized by k= # of switches (sources/sinks)

— O(2^{k log k+2k} n)-time algorithm, based on spirality + SPQR-trees + 2-SAT

Open Problem 9: Can we find FPT/XP algorithms with respect to other parameters (i.e., other than the number of switches)?



Thank you for your attention!



Additional details

Improvements of Tamassia's result derive from subsequent faster min-cost flow algorithms:

- O(n^{1.75} log n) time [Garg & Tamassia, GD 1996]
- O(n^{1.5}) time [Cornelsen & Karrenbauer, JGAA 2012]

Remark: for rectilinear planarity testing the min-cost flow problem is reduced to a max-flow problem (faces cannot exchange flow); O(n log³ n) time [Borradaile, Klein, Mozes, Nussbaum, Wulff- Nilsen, SIAM J. Comp. 2017]

Fixed Embedding: State-of-the-art

Improvements of Tamassia's result derive from subsequent faster min-cost flow algorithms:

- O(n^{1.75} log n) time [Garg & Tamassia, GD 1996]
- O(n^{1.5}) time [Cornelsen & Karrenbauer, JGAA 2012]

Remark: for bend minimization we could use in principle a recent "almost-linear time" min-cost-flow algorithm - O(n^{1+o(1)} log n) time [Chen, Kyng, Liu, Peng, Probst Gutenberg, Sachdeva, FOCS 2022, Comm. ACM 2023]

"For now, the new algorithms introduced by Prof. Kyng, Dr. Probst Gutenberg, and their co-authors remain impractical, as they rely on a theoretical analysis of algorithm performance on networks larger than anything even giant corporations like Google would ever consider. But, the race is now on to simplify and improve the algorithm to make it work well in practice."